

PTO/SB/21 (08-00)

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**TRANSMITTAL  
FORM**

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<b>Application Number</b>	10/663,451	
	<b>Filing Date</b>	September 16, 2003	
	<b>First Named Inventor</b>	Hong Zhang	
	<b>Group Art Unit</b>	Not Yet Assigned	
	<b>Examiner Name</b>	Not Yet Assigned	
<b>Total Number of Pages in This Submission</b>	3	<b>Attorney Docket Number</b>	ISIS0054-101 (RTS-0201US.C1)

**ENCLOSURES (check all that apply)**☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/  
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Parts under 37 CFR  
1.52 or 1.53☐ Assignment Papers  
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to  
Group☐ Appeal Communication to Board of  
Appeals and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)  
(please identify below):

References BA and BB

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Paul K. Legaard/Reg. No. 38,534
Signature	
Date	3 October 2003

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 3 October 2003

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SIS0054-101 (RTS-0201US.C1)


PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hong Zhang, et al. : Art Unit: Not Yet Assigned  
Serial No.: 10/663,451 : Examiner: Not Yet Assigned  
Filed: September 16, 2003 :  
For: ANTISENSE MODULATION OF :  
CASPASE 7 EXPRESSION

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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REGISTRATION NO: 38,534

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R :

Pursuant to 37 C.F.R. § 1.56 and in accordance with §§ 1.97-1.98,  
information relating to the above-identified application is hereby disclosed on the  
attached PTO/SB/08A. Inclusion of information in this statement is not to be construed  
as an admission that this information is material as that term is defined in 37 C.F.R. §  
1.56(b).

No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or certification is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

A handwritten signature in dark ink, appearing to read 'P. Legaard', is written over a horizontal line.

Paul K. Legaard  
Attorneys for Applicant

Enclosures:  
PTO/SB/08A

Dated: 3 October 2003

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